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A Generic Deriving Mechanism for Haskell

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September 16, 2010

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Overview

Viewpoints

End user

Library writer

Compiler implementer

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Overview

- ▶ Haskell has a number of (built-in) type classes that can automatically be derived: **Bounded**, **Enum**, **Eq**, **Ord**, **Read**, and **Show**.
- ▶ We present a mechanism that lets you define these classes and your own **in** Haskell such that they can be derived automatically.
- ▶ Similar to “Derivable Type Classes” implemented in GHC, but better integrated into Haskell, more lightweight (no new syntax), more complete, and more flexible.
- ▶ The mechanism is implemented in the Utrecht Haskell Compiler, and we describe formally how it can be implemented in other compilers.



Features

- ▶ We can handle meta-information such as constructors or field labels.
- ▶ We can derive all the Haskell 98 classes.
- ▶ We can derive most of the classes that GHC can derive, including **Typeable** and classes of kind $\star \rightarrow \star$ such as **Functor**.



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Using generic functions

If a class is generic, it can be used in a **deriving** construct.
Assuming a type class

```
data Bit = 0 | 1  
class Encode  $\alpha$  where  
  encode ::  $\alpha \rightarrow$  [Bit]
```

The end user can write

```
data Exp = Const Int | Plus Exp Exp  
  deriving (Show, Encode)
```

and then use

```
test :: [Bit]  
test = encode (Plus (Const 1) (Const 2))
```



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Basic idea

- ▶ For each datatype, there is an equivalent internal representation.
- ▶ All the concepts contained in the **data** construct (parameterization, choice, sequence, recursion, composition) are captured by a limited set of **representation types**.
- ▶ The library writer has to implement generic methods on this limited set of representation types only, the rest then comes for free.



Type representation

- ▶ The type representation is available in a module (`Generics.Deriving.Base`).
- ▶ The representation types need to be bundled with the compiler (much like `Data.Data` for `syb` on `GHC`), but the library itself (`generic-deriving` on `Hackage`) is portable.
- ▶ The library contains a set of datatypes as well as a class that allows conversion between a datatype and its representation.



Example

```
data Exp = Const Int | Plus Exp Exp
```

```
type Rep0Exp =  
  D1 $Exp ( C1 $ConstExp (Rec0 Int)  
            + C1 $PlusExp (Rec0 Exp × Rec0 Exp))
```



Example

```
data Exp = Const Int | Plus Exp Exp
```

```
type RepExp0 =  
    ( Int  
    + ( Exp × Exp ))
```

Note that the representation is **shallow** – recursive calls are to `Exp`, not `RepExp0`.

Most of the representation is meta-information about:



Example

```
data Exp = Const Int | Plus Exp Exp
```

```
type RepExp0 =  
  D1 $Exp ( ( Int)  
             + ( Exp × Exp))
```

Note that the representation is **shallow** – recursive calls are to `Exp`, not `RepExp0`.

Most of the representation is meta-information about:

- ▶ the datatype itself,



Example

```
data Exp = Const Int | Plus Exp Exp
```

```
type RepExp0 =  
  D1 $Exp ( C1 $ConstExp ( Int)  
            + C1 $PlusExp ( Exp × Exp))
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Note that the representation is **shallow** – recursive calls are to `Exp`, not `RepExp0`.

Most of the representation is meta-information about:

- ▶ the datatype itself,
- ▶ the constructors,



Example

```
data Exp = Const Int | Plus Exp Exp
```

```
type Rep0Exp =  
  D1 $Exp ( C1 $ConstExp (Rec0 Int)  
            + C1 $PlusExp (Rec0 Exp × Rec0 Exp))
```

Note that the representation is **shallow** – recursive calls are to `Exp`, not `Rep0Exp`.

Most of the representation is meta-information about:

- ▶ the datatype itself,
- ▶ the constructors,
- ▶ where recursive calls take place.



Lifting

Our approach can handle type classes with parameters of both

- ▶ kind \star such as `Encode` and `Show`;
- ▶ kind $\star \rightarrow \star$ such as `Functor`.

We therefore represent **all** datatypes at kind $\star \rightarrow \star$.

Types of kind \star get a dummy parameter in their representation.



Representation types

data V_1 ρ

data U_1 $\rho = U_1$

data $(+)$ $\phi \psi \rho = L_1(\phi \rho) \mid R_1(\psi \rho)$

data (\times) $\phi \psi \rho = \phi \rho \times \psi \rho$

The void type V_1 is for types without constructors.

The unit type U_1 is for constructors without fields.

Sums represent choice between constructors.

Products represent sequencing of fields.



Meta-information

data $K_1 \iota \gamma \quad \rho = K_1 \gamma$

data $M_1 \iota \mu \phi \rho = M_1 (\phi \rho)$

These types record additional information, such as names and fixity, for instance. They are instantiated as follows:

data D -- datatypes

type $D_1 = M_1 D$

data C -- constructors

type $C_1 = M_1 C$

data S -- record selectors

type $S_1 = M_1 S$

data R -- recursive calls

type $Rec_0 = K_1 R$

data P -- parameters

type $Par_0 = K_1 P$

We group five combinators into two because we often do not care about all the different types of meta-information.



Example: meta-information for expressions

UHC automatically generates the following for `Exp`:

```
data $Exp
```

```
data $ConstExp
```

```
data $PlusExp
```

```
instance Datatype $Exp where
```

```
  moduleName _ = "ModuleName"
```

```
  datatypeName _ = "Exp"
```

```
instance Constructor $ConstExp where conName _ = "Const"
```

```
instance Constructor $PlusExp where conName _ = "Plus"
```

The classes `Datatype` and `Constructor` can hold more information if desired.



Conversion

We use a type class to mediate between values and representations:

class `Representable0` α τ **where**

`from0` $:: \alpha \rightarrow \tau$ χ

`to0` $:: \tau$ $\chi \rightarrow \alpha$



Conversion

We use a type class to mediate between values and representations:

class Representable₀ α τ **where**

from₀ :: $\alpha \rightarrow \tau$ χ

to₀ :: τ $\chi \rightarrow \alpha$

Instance for **Exp** (automatically generated by UHC):

instance Representable₀ **Exp** Rep₀^{Exp} **where**

from₀ (Const n) = M₁ (L₁ (M₁ (K₁ n)))

from₀ (Plus e e') = M₁ (R₁ (M₁ (K₁ e × K₁ e')))

to₀ (M₁ (L₁ (M₁ (K₁ n)))) = Const n

to₀ (M₁ (R₁ (M₁ (K₁ e × K₁ e')))) = Plus e e'



A note on extensions

The `Representable0` class could use a functional dependency:

```
class Representable0 α τ | α → τ where ...
```

Alternatively, τ could be encoded as an associated type:

```
class Representable0 α where  
  type Rep0 α :: * → *  
  from0 :: α → Rep0 α χ  
  to0   :: Rep0 α χ → α
```

But we want to stay inside Haskell98 as much as possible. We only require support for multi-parameter type classes.



Generic function definitions

We use two classes: one for the base types (kind \star):

```
class Encode  $\alpha$  where  
  encode ::  $\alpha \rightarrow [\text{Bit}]$ 
```

and one for the representation types (kind $\star \rightarrow \star$):

```
class Encode1  $\phi$  where  
  encode1 ::  $\phi \chi \rightarrow [\text{Bit}]$ 
```



Simple cases

The generic cases are defined as instances of Encode_1 :

instance $\text{Encode}_1 V_1$ **where**

$\text{encode}_1 _ = []$

instance $\text{Encode}_1 U_1$ **where**

$\text{encode}_1 _ = []$

instance $(\text{Encode}_1 \phi) \Rightarrow \text{Encode}_1 (M_1 \iota \gamma \phi)$ **where**

$\text{encode}_1 (M_1 a) = \text{encode}_1 a$



Sums and products

instance $(\text{Encode}_1 \phi, \text{Encode}_1 \psi) \Rightarrow \text{Encode}_1 (\phi + \psi)$ **where**
 $\text{encode}_1 (L_1 a) = 0 : \text{encode}_1 a$
 $\text{encode}_1 (R_1 a) = 1 : \text{encode}_1 a$

instance $(\text{Encode}_1 \phi, \text{Encode}_1 \psi) \Rightarrow \text{Encode}_1 (\phi \times \psi)$ **where**
 $\text{encode}_1 (a \times b) = \text{encode}_1 a \text{ ++ } \text{encode}_1 b$



Constants and base types

For constants, we rely on `Encode`:

```
instance (Encode  $\alpha$ )  $\Rightarrow$  Encode1 (K1  $\iota$   $\alpha$ ) where  
  encode1 (K1 a) = encode a
```

In this way we close the recursive loop: if α is a representable type, `encode` will call `from` and then `encode1` again.

For base types, we need to provide ad-hoc instances:

```
instance Encode Int where encode = ...  
instance Encode Char where encode = ...
```



Default generic instance

Every generic function needs a default case:

`encodeDefault` :: (`Representable0` α τ , `Encode1` τ)

$\Rightarrow \tau \chi \rightarrow \alpha \rightarrow [\text{Bit}]$

`encodeDefault` rep x = `encode1` ((`from0` x) 'asTypeOf' rep)

{-# DERIVABLE `Encode` encode `encodeDefault` #-}



Default generic instance

Every generic function needs a default case:

```
encodeDefault :: (Representable0 α τ, Encode1 τ)
               ⇒ τ χ → α → [Bit]
encodeDefault rep x = encode1 ((from0 x) 'asTypeOf' rep)

{-# DERIVABLE Encode encode encodeDefault #-}
```

We are done:

```
data Exp = Const Int | Plus Exp Exp deriving Encode
```

will cause the generation of

```
instance Encode Exp where
  encode = encodeDefault (⊥ :: RepExp0 χ)
```



Representing kind $\star \rightarrow \star$ types

For type constructors (kind $\star \rightarrow \star$), we use a few more representation types:

newtype Par_1 $\rho = \text{Par}_1 \ \rho$

newtype Rec_1 ϕ $\rho = \text{Rec}_1 \ (\phi \ \rho)$

newtype (\circ) $\phi \ \psi \ \rho = \text{Comp}_1 \ (\phi \ (\psi \ \rho))$

We use Par_1 to store the parameter, Rec_1 to encode recursive occurrences of type constructors, and \circ for type composition (eg. lists of trees).



Example: representing lists I

data List $\rho = \text{Nil} \mid \text{Cons } \rho \text{ (List } \rho)$
deriving (Show, Encode, Functor)

We need an instance of `Representable0` for kind \star functions:

type Rep₀^{List} $\rho =$
D₁ \$List (C₁ \$Nil_{List} U₁
+ C₁ \$Cons_{List} (Par₀ $\rho \times \text{Rec}_0 \text{ (List } \rho)$))

instance Representable₀ (List ρ) (Rep₀^{List} ρ) **where**
from₀ Nil = M₁ (L₁ (M₁ U₁))
from₀ (Cons h t) = M₁ (R₁ (M₁ (K₁ h × K₁ t)))
to₀ (M₁ (L₁ (M₁ U₁))) = Nil
to₀ (M₁ (R₁ (M₁ (K₁ h × K₁ t)))) = Cons h t



Example: representing lists II

And an instance of `Representable1` for kind $\star \rightarrow \star$ functions:

```
type Rep1List = D1 $List ( C1 $NilList U1  
+ C1 $ConsList (Par1 × Rec1 List))
```

```
instance Representable1 List Rep1List where
```

```
from1 Nil = M1 (L1 (M1 U1))
```

```
from1 (Cons h t) = M1 (R1 (M1 (Par1 h × Rec1 t)))
```

```
to1 (M1 (L1 (M1 U1))) = Nil
```

```
to1 (M1 (R1 (M1 (Par1 h × Rec1 t)))) = Cons h t
```



Generic map I

We show how to define **Functor** generically as an example of a kind $\star \rightarrow \star$ function. For consistency, we again use two type classes:

class Functor ϕ **where**

$\text{fmap} :: (\rho \rightarrow \alpha) \rightarrow \phi \rho \rightarrow \phi \alpha$

class Functor₁ ϕ **where**

$\text{fmap}_1 :: (\rho \rightarrow \alpha) \rightarrow \phi \rho \rightarrow \phi \alpha$



Generic map I

We show how to define **Functor** generically as an example of a kind $\star \rightarrow \star$ function. For consistency, we again use two type classes:

class **Functor** ϕ **where**

$\text{fmap} :: (\rho \rightarrow \alpha) \rightarrow \phi \rho \rightarrow \phi \alpha$

class **Functor₁** ϕ **where**

$\text{fmap}_1 :: (\rho \rightarrow \alpha) \rightarrow \phi \rho \rightarrow \phi \alpha$

Recursion and composition rely on **Functor**:

instance (**Functor** ϕ) \Rightarrow **Functor₁** (**Rec₁** ϕ) **where**

$\text{fmap}_1 f (\text{Rec}_1 a) = \text{Rec}_1 (\text{fmap } f a)$

instance (**Functor** ϕ , **Functor₁** ψ) \Rightarrow **Functor₁** ($\phi \circ \psi$) **where**

$\text{fmap}_1 f (\text{Comp}_1 x) = \text{Comp}_1 (\text{fmap } (\text{fmap}_1 f) x)$



Generic map II

Most cases are trivial:

instance $\text{Functor}_1 U_1$ **where**

$$\text{fmap}_1 f U_1 = U_1$$

instance $\text{Functor}_1 (K_1 \iota \gamma)$ **where**

$$\text{fmap}_1 f (K_1 a) = K_1 a$$

instance $(\text{Functor}_1 \phi) \Rightarrow \text{Functor}_1 (M_1 \iota \gamma \phi)$ **where**

$$\text{fmap}_1 f (M_1 a) = M_1 (\text{fmap}_1 f a)$$

instance $(\text{Functor}_1 \phi, \text{Functor}_1 \psi) \Rightarrow \text{Functor}_1 (\phi + \psi)$ **where**

$$\text{fmap}_1 f (L_1 a) = L_1 (\text{fmap}_1 f a)$$

$$\text{fmap}_1 f (R_1 a) = R_1 (\text{fmap}_1 f a)$$

instance $(\text{Functor}_1 \phi, \text{Functor}_1 \psi) \Rightarrow \text{Functor}_1 (\phi \times \psi)$ **where**

$$\text{fmap}_1 f (a \times b) = \text{fmap}_1 f a \times \text{fmap}_1 f b$$



Generic map III

The most interesting instance is the one for parameters:

```
instance Functor1 Par1 where  
  fmap1 f (Par1 a) = Par1 (f a)
```

The default case applies the conversion functions:

```
{-# DERIVABLE Functor fmap fmapDefault #-}  
fmapDefault :: (Representable1 ϕ τ, Functor1 τ)  
             => τ ρ → (ρ → α) → ϕ ρ → ϕ α  
fmapDefault rep f x = to1 (fmap1 f (from1 x 'asTypeOf' rep))
```

And we are now ready to derive **Functor** for **List**.



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Compiler support

For each datatype, the compiler generates the following:

- ▶ Meta-information, i.e. datatypes and class instances.
- ▶ Representation type synonym(s).
- ▶ `Representable0` and/or `Representable1` instance.

For each **deriving** construct, the compiler looks for an appropriate `DERIVABLE` pragma and generates a default instance.



Design choices

There is a certain amount of flexibility in how the compiler generates the representation.

For example, sums and products are currently balanced.

It is not clear yet how much of these details should be part of the specification.



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Conclusion

- ▶ The deriving mechanism does not have to be “magic”: it can be explained in Haskell.
- ▶ Derivable functions become accessible and portable.
- ▶ We provide an implementation in UHC and detailed information on how to implement it for other compilers.
- ▶ We hope that the behavior of derived instances can be redefined in Haskell Prime, perhaps along the lines of our work.

